

*(EW)*  
Receipt

Docket No.: WMH

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

By: Okyl Drul

Date: November 22, 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant	:	Christian Pfau et al.	Confirmation No.:	6200
Appl. No.	:	10/532,181		
PCT No.	:	PCT/EP03/011593		
Filed	:	April 21, 2005		
Title	:	Method for fastening a tool in a chuck		
Art Unit	:	3722		

**REQUEST FOR FILING RECEIPT CORRECTION**

Hon. Commissioner of Patents and Trademarks,  
Washington, D.C. 20231

Sir:

Undersigned counsel has received the Filing Receipt for the above-identified application.

However, the filing date was listed incorrectly and should be as follows:

- April 21, 2005

Enclosed are copies of the postcard with the Patent Office stamp, Express Mail certificate, and transmittal letter, all showing the filing of the present application on April 21, 2005.

It is respectfully requested that the Patent Office Records be changed and that a new Filing Receipt be issued, so that the printed patent will show the correct filing date.

Respectfully submitted,

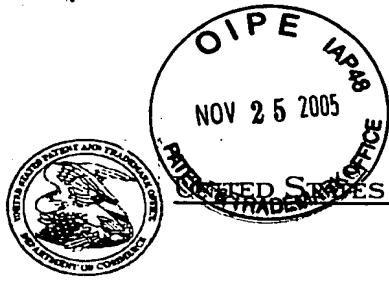
Okyl Drul  
Kerry P. Sisselman

REG NO. 37,237

Lerner and Greenberg, P.A.  
Post Office Box 2480  
Hollywood, FL 33022-2480  
Tel: (954) 925-1100  
Fax: (954) 925-1101

Enclosed: Copy of the Filing Receipt  
Copy of the Express mail label  
Copy of the serial number postcard  
Copy of the Transmittal Letter

/mjb

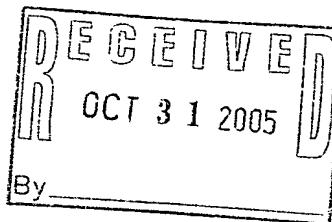


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/532,181	05/19/2005	3722	1165	WMH-0738	2	24	1

24131  
 LERNER AND GREENBERG, PA  
 P O BOX 2480  
 HOLLYWOOD, FL 33022-2480



CONFIRMATION NO. 6200

## FILING RECEIPT



\*OC000000017314694\*

Date Mailed: 10/25/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Christian Pfau, Bietigheim-Bissingen, GERMANY;  
 Christoph Zoller, Shanghai, CHINA;

## Assignment For Published Patent Application

ZOLLER GMBH & CO. KG

**Power of Attorney:** The patent practitioners associated with Customer Number 24131.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP03/11593 10/20/2003

## Foreign Applications

GERMANY 102 49 072.4 10/21/2002

**Projected Publication Date:** 02/02/2006

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**



Method for fastening a tool in a tool chuck

**Preliminary Class**

409

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### **LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15**

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

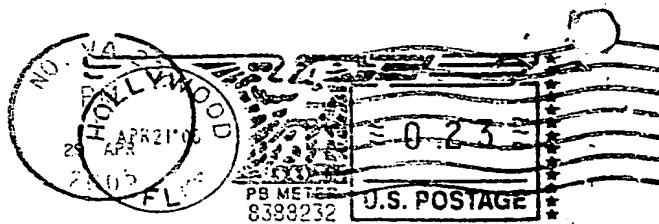
The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



**BEST AVAILABLE COPY**



LERNER AND GREENBERG, P.A.  
P.O. Box 2480  
Hollywood, FL 33022-2480

2020

CKET NO. WMH-0738. .... Mailed April 21, 2005  
APPLIC. NO. PCT/EP03/011593 Express Mail EV. 577604854 US  
The stamp of the Patent Office hereon may be considered the date on which  
papers indicated below were received. 10/F32181

10/532181

JC10 Rec'd PCT/PTO 21 APR 2005

PCT  dwgs.  Mail. Cert.  
*Comp*  Search Report

Comp Sheet WO *2004103749697*  Search Report

Prel. Int. Exam. Report  Fee Calc. Sheet

Orig. PCT Applie *18* pgs.  PCT Transmittal Letter

Transl. *21* pgs.  Declaration ~~signed~~ unsigned

Prel. Amendment *8 pp.*  ~~check \$1,200.00~~

ADS, IIS (9 Regs.) (s1. Engl. Transl. of cr.)  
WO 2004103749697 (9 Regs.) (s1. Engl. Transl. of cr.)  
\$1,200.00 *2004103749697*

(Patent Office. Please stamp and return to addressee on reverse side.)

# BEST AVAILABLE COPY



EV 577604854 US

ORIGIN (POSTAL SERVICE USE ONLY)		
PO ZIP Code <b>33316</b>	Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> 2nd <input type="checkbox"/> 2nd Del. Day	Postage <b>\$ 21.05</b>
Date Accepted <b>4/21/05</b>	Scheduled Date of Delivery Month <b>4</b> Day <b>28</b>	Return Receipt Fee <b>\$</b>
Mo. Day Year <b>Mo. Day Year</b>	Scheduled Time of Delivery <input checked="" type="checkbox"/> Noon <input type="checkbox"/> 3 PM	COD Fee <b>\$</b> Insurance Fee <b>\$</b>
Time Accepted <b>4:50</b> <input type="checkbox"/> AM <input checked="" type="checkbox"/> PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Total Postage & Fees <b>\$ 21.05</b>
Flat Rate <input type="checkbox"/> or Weight <b>3.3</b> lbs. <b>0.00</b> ozs.	Int'l Alpha Country Code	Acceptance Emp. Initials <b>TK</b>

#### CUSTOMER USE ONLY

#### METHOD OF PAYMENT:

Express Mail Corporate Acct. No.

#### UNITED STATES POSTAL SERVICE® Post Office To Addressee

DELIVERY (POSTAL USE ONLY)		
Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Mo. Day		<i>SAF.T. L.A. 11/11/05</i>
Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Mo. Day		<i>SAF.T. L.A. 11/11/05</i>
Delivery Date	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Mo. Day		<i>SAF.T. L.A. 11/11/05</i>
<input type="checkbox"/> <b>WAIVER OF SIGNATURE</b> (Domestic Mail Only) Acceptance of delivery and insurance is void if waiver of signature is requested. I wish delivery to be made without my signature or the signature of addressee or addressee's agent (if delivery employee judges that article may be left in an insecure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.		
NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday		Customer Signature

FROM: (PLEASE PRINT) <b>LEKNER &amp; GREENBERG</b> PO BOX 2480 HOLLYWOOD	PHONE ( <b>FL 33022-2430</b> )	TO: (PLEASE PRINT) <b>MAIL STOP PCT Applications</b> COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA	PHONE ( <b>VA 22313-1450</b> )
---	--------------------------------	--	--------------------------------

FOR PICKUP OR TRACKING: Visit **WWW.usps.com** or Call 1-800-222-1811





Practitioner's Docket No.: WMH-0738

CHAPTER II

"Express Mail" mailing label number EV 577604854 US  
Date of Deposit April 21, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Michael Burns

MICHAEL BURNS

**TRANSMITTAL LETTER  
TO THE UNITED STATES ELECTED OFFICE (EO/US)**

**(ENTRY INTO U.S. NATIONAL PHASE UNDER CHAPTER II)**

---

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE
PCT/EP2003/011593	October 20, 2003	October 21, 2002

---

**TITLE OF INVENTION**

**METHOD OF FASTENING A TOOL IN A TOOL CHUCK**

---

**APPLICANT**  
Pfau, Christian et al.

---

**Mail Stop PCT**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**  
**ATTENTION: EO/US**

1. Applicant herewith submits to the United States Elected Office (EO/US) the following items under 35 U.S.C. 371:
  - a.  This express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
  - b.  The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees (37 C.F.R. § 1.492) as indicated below:

## 2. Fees

CLAIMS FEE	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULA- TIONS
[ ]*	TOTAL CLAIMS	24	4	x \$ 50.00	\$200.00
	INDEPENDENT CLAIMS	1		x \$ 200.00 =	\$0.00
	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00				
The following fees are submitted**	(a) Basic national fee \$300.00				
	(b) Examination fee \$200.00				
	(c) Search fee \$500.00				
	Total of Above Calculations				
SMALL ENTITY	= \$ 1,200.00				
	Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed. (note 37 CFR 1.9, 1.27, 1.28)				
	Subtotal				
	\$ 1,200.00				
	Total National Fee				
	\$ 1,200.00				
	Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f))				
	\$ 0.00				
	Fee for recording the enclosed assignment document \$40.00 (37 CFR 1.21(h)). (See Item 13 below). See attached "ASSIGNMENT COVER SHEET".				
TOTAL	Total Fees enclosed				
	\$1,200.00				